

Lincoln Police Department Thomas K. Casady, Chief of Police 575 South 10th Street Lincoln, Nebraska 68508

402-441-7204 fax: 402-441-8492



MAYOR COLEEN J. SENG

www.ci.lincoln.ne.us

November 11, 2003

Mayor Seng and City Council City of Lincoln City County Building Lincoln, NE

Mayor Seng and Members of the City Council:

An investigation has been made regarding the application of Café de Mai & Season Banquet Hall, 2535 'O' Street requesting a class I liquor license.

Thieu Nguyen, owner has requested that he be approved as the manager of the liquor license.

Background information on the applicant is as follows:

Thieu Nguyen was born in Bien Hoa, Vietnam. He attended Ohio Domnican University graduating in 1996. Mr. Nguyen served in the United States Armed Forces 1989 – 1993, receiving an honorable discharge.

Thieu Nguyen employment history is as follows:

Present	Owner, Osian International Market / Café de Mai	Lincoln, NE.
1997 - 2003	Manager, Southern Auto Sales	Columbus, OH.
1993 - 1997	Manager, Asia Market	Columbus, OH.

If this application is approved, it should be with the understanding that it conforms to all the rules and regulations of Lincoln, Lancaster County and the State of Nebraska.

THOMAS K. CASADY, Chief of Police

The Kilms

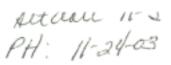




Liquor License Business Report Completed by Inv. Fosler #843
Business Name: O ASIAN INTERNATIONAL / ONFE de MAI
Address: 2535 'O' ST Phone: 476 -731/
Type of Investigation: Purchase Upgrade Expansion New
Owner Manager Other:
Type of Business: GROCERY STORE / CAFE BARQUET HALL
Liquor Class A B C D I J K Catering Other: - class D For STOKE
Ownership: Corporation Partnership Individual
Amount Financed: 1,7 mil Source: Cornhaker Bank
Lease Agreement: Bought Bulding
Sales: %Food: 93 %Liquor: 7
Located: (Commercial) Industrial Residential
Traffic Flow: Heavy Off Street Parking: Yes No
Ready for Operation: Yes No/Est Date: 12-18-03
Food Service: Yes No Employees: F/T 21 P/T 6  Est Seating: 350 Hall Est Daily Customers 1500
Hours of Operation: 9am - midwight
Any Additional Comments:

Liquor License Investigation
Business (DBA) O, Asian International / Cafe de mai
Manager Owner Other
Name: Thien Nguyen
US Citizen? Yes No
Has applicant ever been cited for liquor law violations ? No Yes  Explain
Does applicant have an interest in another liquor license No Yes  Explain_
Is spouse qualified to hold a license ? Yes No N/A
How is applicant if not an owner to be paid? Salary Hourly -N/A
How many hours will applicant be at the establishment?
Any other employment? No Yes, explain under ideel
Any previous experience with a liquor license? Yes
Any criminal convictions ? No Yes Comments
Is applicant a property owner in Lincoln? Yes No
Is applicant involved in any civil litigation? No Yes  Comments
(9Photo (9 Records Check (9 References
Comments
Interview Date // / // // U3

## State of Nebraska





Mike Johanns October 29, 2003

City Clerk County/City Bldg 555 South 10th Street Lincoln NE 68508

CITY CLERK'S OFFICE

'03 OCT 30 AM 4 08

CITY OF LINCOLN NEBRASKA

NEBRASKA LIQUOR CONTROL COMMISSION Forrest D. Chapman

Executive Director

301 Centennial Mail South, 5th Floor

P.O. Box 95046

Lincoln, Nebraska 68509-5046 Phone (402) 471-2571

Fax (402) 471-2814

TRS USER 800 833-7352 (TTY)

web address: http://www.nol.org/home/NLCC/

Cape de maiand Season Banquet Hall 2535 O St Class I

Dear Local Governing Body:

Attached is the form to be used on all retail liquor license applications. Local clerks must collect proper license fees and occupation tax per ordinance, if any, before delivering the license at time of issuance.

### TWO KEY TIME FRAMES TO KEEP IN MIND ARE:

You have 45 days to conduct a hearing after the date of receipt of the notice from this Commission (§53-134). 1) You may choose NOT to make a recommendation of approval or denial to our Commission.

PER §53-133, THE LIQUOR CONTROL COMMISSION SHALL SET FOR HEARING ANY APPLICATION WHEREIN:

- There is a recommendation of denial from the local governing body. 1)
- · A citizens protest; or
- Statutory problems that the Commission discovers. 3)

PLEASE NOTE...A LICENSEE MUST BE "PROPERLY" LICENSED IN ORDER TO PURCHASE FROM WHOLESALERS; AND, A LICENSE IS EFFECTIVE:

- 1) Upon payment of the license fees;
- 2) Physical possession of the license;

Effective date on the license. 3)

Sincerely,

NEBRASKA LIQUOR CONTROL COMMISSION

Michelle Porter Licensing Division

Enclosures

Rhonda R. Flower Commissioner

Bob Logsdon Chairman

Thien Nguyen R.L. (Dick) Coyne

An Equal Opportunity/Affirmative Action Employer

Printed with soy ink on recycled paper

FORM 35-4001 DEV 13/00 1# 6165U

New

nup

http://www.nol.org/home/NLCC/ Phone: (402) 471-2571

Fax: (402) 471-2814



INSTRUCTIONS: Include: 1. Applicable fees payable to Liquor Control Commission 2. Copy of birth certificate or naturalization papers proving U.S. citizenship for each individual and spouse named on application (not required of corporations or spouse(s) who file an affidavit of no interest with application, Commission form 4178 3. Corporations must include copy of articles of incorporation as filed with the Secretary of States office in the state of Nebraska 4. Commission checklist, form 4251 5. Fingerprint cards and processing fees (are required of individuals, all partners and spouses. Corporate applicants must file for CEO/Manager & stockholders holding over 25% stock 6. All applications must be typewritten or printed clearly 7. Submit in Triplicate 8. Required areas marked by a red asterisk (\*)

## CLASS OF LICENSE FOR WHICH APPLICATION IS MADE AND LIST OF FEES FOR EACH

Class of License			
(Check applicable class) *	Registration Fee	License Fees	Corporate Surety Bond *send copy
A Beer, On Sale Only - Inside Corporate Limits	\$45.00	Collected a Local Level	
F Beer, On Sale Only - Outside Corporate Limits	\$45.00	Collected at Local Level	exempt
B Beer, Off Sale Only - Inside/Outside Corporate Limits	\$45.00	Collected at Local Level	exempt
J Wine, Beer, On Sale Only - Inside Corporate Limits	\$45.00	Collected at Local Level	exempt
☑ I Spirits, Wine, Beer, On Sale Only - Inside Corporate Limits	\$45.00	Collected at Local Level	-
D Spirits, Wine, Beer, Off Sale Only - Inside Corporate Limits	\$45.00	\$150.00	exempt
<ul> <li>D1 Spirits, Wine, Beer, Off Sale Only - within extraterritorial zoning jurisdiction</li> </ul>	\$45.00	\$150.00	exempt
C Spirits, Wine, Beer On & Off Sale - Inside Corporate Limits	\$45.00	Collected at Local Level	1
☐ M Bottle Club (Spirits, Wine, Beer, On Sale)		Collected at Local Level	exempt
☐ H Nenprofit Corporation		Collected at Local Level	exempt
☐ K Wine Only, Off Sale		Collected at Local Level	exempt
O Boat	\$45.00	\$50.00	exempt
□ V Manufacturer of Beer, Wine & Distilled Spirits	\$45.00	Varies \$100 to \$1,000	*\$10,000 min.
X Wholesale Liquor	\$45.00	\$500.00	*\$ 5,000 min.
☐ W Wholesale Beer	\$45.00	\$250.00	*\$ 5,000 min.
☐ Y Farm Winery	\$45.00	\$250.00	*\$ 1,000 min.
☐ L Craft Brewery (Brew Pub)	\$45,00	\$250.00	*\$ 1,000 min.

APPLICATION FOR LICENSE Nebraska Liquor Control Commission

301 Centennial Mall South

Lincoln, NE 68509-5046

PO Box 95046,

TYPE OF APPLICATION *	NAME OF PROCESS ASSESSED.
Type of application being applied for	NAME OF PERSON ASSISTING WITH APPLICATION
(check appropriate box)	
(white appropriate box)	Name
1 0	John M. Boehm
<ol> <li>C Individual License requires Form 1 to be attached.</li> </ol>	John W. Boenm
<ol><li>Partnership License requires Form 2 to be attached.</li></ol>	Firm Name Address
<ol> <li>Corporate License requires Forms 3 and Manager</li> </ol>	Butler, Galter, O'Brien & Boehm 811 S. 13th St., Lincoln, NE6850
Application to be attached	DITTO: TOUTOE, Elicolii, NE0030
SECTION A – LOCATION INFORM	MATION Must be completed by all applicants
Trade Name (name of business)	
	Telephone Number at premise to be licensed
Cafe de Mai and Season Banquet Hall	402-476-7311
1) Street Address of Proposed licensed premise	Mailing Address for receipt of Liquor Control Commission
2535 "O" Street	mailings
2000 O Street	2535 "O" Street
City County	
County	City County
Lincoln Lancaster	Lincoln Lancaster
Zin Codo	
Zip Code Is this located inside the city li	mits? Zip Code
68510	68510
	00010

## DESCRIPTION AND DIAGRAM OF THE STRUCTURE TO BE LICENSED

In the space provided draw the area to be licensed. This should include storage areas, basement, sales areas and areas where consumption or sales of alcohol will take place. If only a portion of the building is to be covered by the license, you must still include dimensions (length x width) of the licensed area as well as the dimensions of the entire building in situations where only a portion of the entire bldg. is to be covered by the license. No blue prints will be accepted. Be sure to indicate the direction North and number of floors Example: East portion approximately 50' x See attached

×

100' of main floor of 3 story building plus basement. Approximately 30' x 50' at the East end.

SECTION B OTHER INFORMATION RI	EOII	IDEI	*
		s No	Explanation/Comments
* 1. READ CAREFULLY. Answer completely and accurately.  Has anyone who is a party to this application, or their spouse, ever been convicted of or plead guilty to any criminal charge. Criminal charge means any charge alleging a felony or misdemeanor violation of a federal or state law; or a violation of a local law, ordinance or resolution. Include any DWIs or DUIs. List the nature of the charge, where the charge occurred and the year and month of the conviction or plea. Also list any charges pending at the time of this application. If more than one party, please list charges by each individual's name.	(A)	No C	Tim Nguyen-speeding Summer, 2000, Lexington, KY
* 2. Are you buying the business and/or assets of a licensee? If yes, submit a copy of the sales agreement with a listing of assets being acquired including liquor inventory (name brand and container size required).	Yes O	No ©	
* 3. Are you filing a temporary agency agreement, Commission form 4231, whereby current licensee allows you to operate on their license? If yes, attach copy.	Yes O	No ⊛	X Y
4. Are you borrowing any money from any source to establish and/or operate he business? If yes, list the lender.	Yes :	- 1	Cornhusker Bank
folis of the establishment? If was explain	Yes 1	No ©	
		- 16	(7)

* 6. Will any of the furniture, fixtures and equipment to be used in this business be owned by others? If yes, list such items and the owner.	Yes O	No ©	
* 7. Will any person(s) other than named in this application have any direct or indirect ownership or control of the business? If yes, explain?	Yes O	No ©	
* 8. Are the premises to be licensed within 150 ft. of a church, school, hospital, home for the aged or indigent persons or for veterans, their wives, children, or within 300 ft. of a college or university campus? If yes, list the name of such institution and where it is located in relation to the premises. Per Sec. §53-177.	Yes C	No ©	
* 9. Is anyone listed on this application a law enforcement officer? If yes, list the person, the law enforcement agency involved and the persons exact duties.	Yes C	No ⊚	
10. List the primary bank and/or financial institution (branch if applicable) to be atilized by the business and the person(s) who will be authorized to write checks and/or make withdrawals on accounts at such institutions.	Tim	Ng	Choice Credit Union uyen uyen
List all past and present liquor licenses held by any person named in this application. Include license holder name, location of license and license number. Also list reasons for termination of any licenses previously held.	Non	е	
List the person who will be the on site supervisor of the business and the stimated number of hours per week such person or manager will be on the remises supervising operations.	Tim 40-	Ngu 45 .h	nours

13. List the training and experience of the person lis connection with selling and/or serving alcohol produ	ted in #12 above in acts.	6-7 gro	years managing restaurants and cery stores
14. If the property for which this license is sought is deed, or proof of ownership, if leased submit a copy entire license year. (Documents must show title or le applicant as owner or lessee in the individual(s) or coapplication is being filed)	of the lease covering	py of the	se attached
15. When do you intend to open for business?			open before Thanksgiving
16. List the principal residence for the past 10 years for	or all persons require	ed to sign applic	ation If necessary attach a consent above
NAME	FROM (YEAR)	TO (YEAR)	RESIDENCE (CITY, STATE)
Tim Nguyen	2002	2003	Lincoln, NE
Tim Nguyen	1993	2002	Columbus, OH
Kim Nguyen	2002	2003	Lincoln, NE
Kim Nguyen 1996 2002			Columbus, OH
Kim Nguyen	1993	1996	Shaumburg, IL
			John Grand Control of the Control of

The undersigned applicant(s) hereby consent(s) to a background investigation and release of present & future records of every kind and description including police records, tax records (State and Federal), bank or lending institution records, and said applicant(s) and spouse(s) waive(s) any right or causes of action that said applicant(s) or spouse(s) may have against the Nebraska Liquor Control Commission, the Nebraska State Patrol, and any other individual disclosing or releasing said information. Any documents or records for the proposed business or for any partner or stockholder that are needed in furtherance of the application investigation or any other investigation shall be supplied immediately upon demand to the Nebraska Liquor Control Commission or the Nebraska State Patrol. The undersigned understand and acknowledge that any license issued, based on the information submitted in this application, is subject to cancellation if the information contained herein is incomplete and/or inaccurate.

Individual applicants agree to supervise in person the management and operation of the business and that they will operate the business authorized by the license for themselves and not as an agent for any other person or entity. Corporate applicants agree the approved manager will superintend in person the management and operation of the business. Partnership applicants agree one partner shall superintend the management and operation of the business. All applicants agree to operate the licensed business within all applicable laws, rules, regulations, and ordinances and to cooperate fully with any authorized agent of the Nebraska Liquor Control Commission.

Must be signed in the presence of a notary public. Must be signed by applicant and spouse; if a partnership, all partners and spouses must sign and corporation, all stockholders (holding more than 25% of the stock), officers, directors and spouses must sign. Full names only, initials not acceptable.

Sign Here Sign H

MY Comm. Exp. April 15, 2006

In compliance with ADA, this application for license form is available in other formats for persons with disabilities. A ten day advance period is requested in writing to produce the alternative format.

ALVERTON AUG ALLEVANO

Notary Public Signature

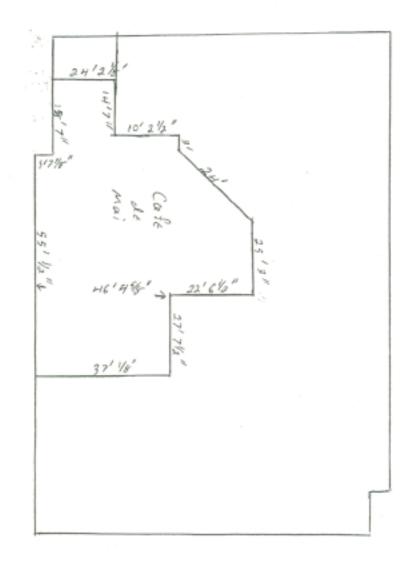
Verify & Print form

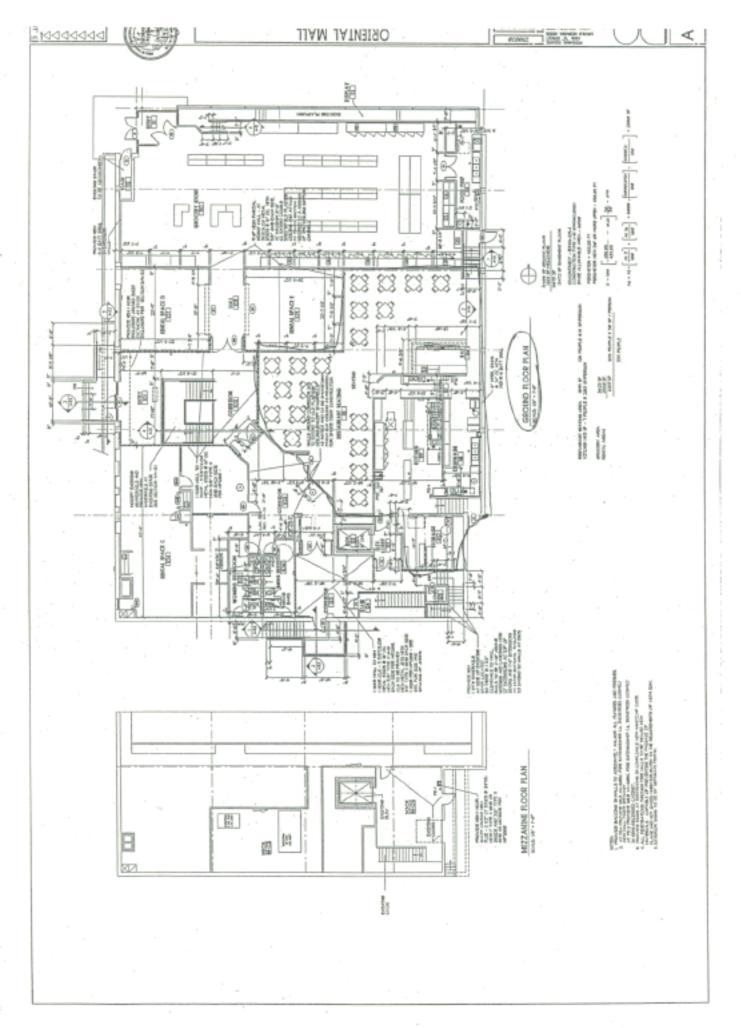
Sign

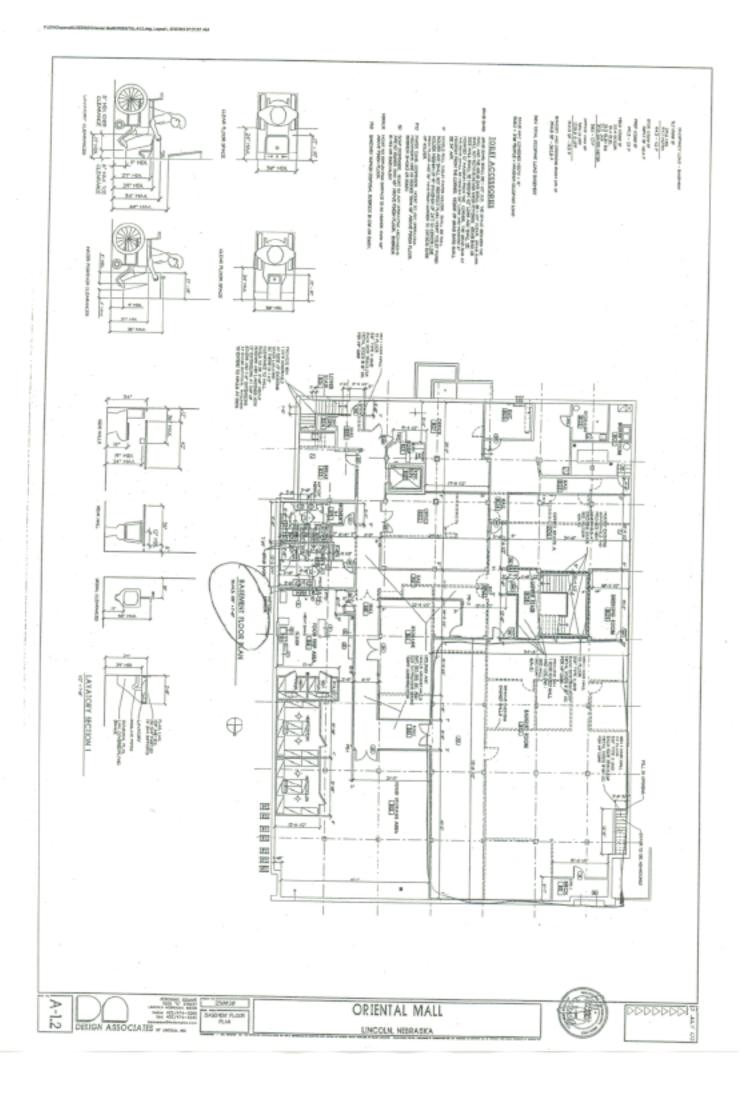
here

FORM 35-4010

REV 1/01







TIME OF	- D T	The state of	IONS:
11.7	PC II		

- Corporation/LLC Application for License Form 3

  Nebraska Liquor Control Commission

  INSTRUCTIONS:

  1) Application and application for manager must be typewritten and submitted in triplicate
  2) Fingerprint cards (2 cards per person) must be submitted for: a) each stockholder owning over 25% of the stockholder owning over 25% of

http://www.ims.state.ne.us/LCCtemp/35-4183.html

Required areas marked by a red asterisk (*)			SSION
Name of Corporation That Will Hold License. Attach copy of Article Cafe de Mai, LLC *	es of Incorporation	Total Number	er of Shares (if corporation)
Corporate Street Address  2535 "O" Street  * Mailing address  2505 "O" S	lress for receipt of Liqu Street	aor Control Comm	nission Mailings
Corporate Telephone Number City Cou 402-476-7311 * Lincoln * Lan	inty ncaster *	State NE *	Zip Code 68510 * -
Name of Registered Agent Tim Nguyen *	Name of Proposed M Tim Nguyen	anager	*
IN THIS SECTION LIST THE NAME OF	F THE CHIEF EXEC	CUTIVE OFFICE	ER.
Name Nguyen, Thieu (Tim) Huy	Title Manager		Date of Birth
Social Security Number Home Address (1)  * 8121 South Street	*	City	oln *
State		elephone Number 0-6018 *	
PRINCIPLE OFFICERS, DIRECTORS, STOC	CKHOLDERS, MEM	BERS AND SPO	USES
Name of Officers, Directors, Members and Spouses. Give Last Name, First Name, Middle, Maiden, and any aliases	Social Security Number	Date of Birth	Title
Name Nguyen, Thieu (Tim) Huy			Manager
Spouse Name Nguyen, Kim Ngan (Do)			None
Partner Number of Shares / % 100	Spouse Number of S	hares / % 0	
Name of Officers, Directors, Members and Spouses. Give Last Name, First Name, Middle, Maiden, and any aliases	Social Security Number	Date of Birth	Title
Vame			
			I

If YES, LIST EACH STOCKHOLDER/MEMBER OWNING MORE THAT 25% stock/interest in that corporation/LCC. Any applicant who has a Corporation as a shareholder MUST file an organizational chart listing all shareholders and/or corporations owning more than 25% stock and listing of the percentage of stock owned.

Please indicate below your corporate tax year with the IRS  Starting date: January 1 Ending date: December 31	
State of Nebraska )	
Lancaster County ) ss.	
Notary Public Signature & Seal	By Im Hymy President/Member
GENERAL NOTARY - State of Nebraska NANCY L. WILKEN My Comm. Exp. April 15, 2006	
In Compliance with ADA, this form is available in other formats for persons with disabilities. A ten day advance period is requested in writing to produce the alternate format.	Secretary/Member

Verify Form and Print

FORM 35-4183 REV. 02/01

# Application for Corporate Manager \*Must Be A Nebraska Resident\* Places submit in Triplicate

Return to:	Nebraska Liquo 301 Centennial	or Control Commiss Mall So., Lincoln N	ion, PO Box 95 E 68509	046	CAR.	10 2 7 2003	
Phone: (402) 4	71-2571	Fax: (402) 471	-2814	Web addres	ss: http://www.nol.	.org/liome/NLCC/	
Required areas	marked by a red as	sterisk (* )					
		LI	QUOR LICE	NSE INFOI	RMATION	-17	
Name of Licen Cafe de Mai,	used Corporation				Class & Licen	se number *	
	Licensed Premise & Season Banqu						
Street Address 2535 "O" Stre	of Licensed Premi eet	sc *	City Lincoln	*	Zip Code 68510	* County	ter
On behalf of th	e corporation, I de	signate this individua	l as corporate m	anager.			
			INFORMAT	H My	T BE 21 OR O	VER)	
Nguyen, Thie	t, First, Middle, M u (Tim) Huy	aiden) *			F M	Social Security Numb	er
Date of Birth	*	Place of Bir Blen Hoa,			*		
Home Street Ad 8121 South S				City Lincoln	*	County Lancaster	*
NE *		Zip Code 68506 *		Home Tele 402-610-	ephone Number 6018 *		
Business Teleph 402-476-7311						Drivers License Nu	amber CI

Are You Married? * Yes C No @ If Yes, You must complete the following:  SPOUSE'S INFORMATION		
Drivers License Number State OH Date of Birth		
Place of Birth Vung Tau, Vietnam		
* 1. READ CAREFULLY. Answer completely and accurately.  Has anyone who is a party to this application, or their spouse, ever been convicted of or plead guilty to any criminal charge. Criminal charge means any charge alleging a felony or misdemeanor violation of a federal or state law; or a violation of a local law, ordinance or resolution. List the nature of the charge, where the charge occurred and the year and month of the conviction or plea. Also list any charges pending at the time of this application. If more than one party, please list charges by each individual's name.  Yes No Tim Nguyen: speeding, Summer 2000, Lexington, KY  Kim Nguyen: speeding, Fall 1996, Chicago, IL		
* 2. Have you or your spouse ever made application for any liquor license or manager for any liquor license? IF YES, for what premise give license number and date.  Yes No C		
* 3. Have you or your spouse ever made a compromise settlement for violation of such laws?  Yes No C 6		
* 4. Do you, as a manager, have all the qualifications required by any person entitled to hold a Nebraska Liquor Control Act (853-131.01)		

Yes No <b>⊙</b> C
* 5. Have you filed fingerprint cards and PROPER FEES (if check, make out to the NE State Patrol), with this application?  Yes No  C
RESIDENCES FOR THE PAST 10 YEARS, APPLICANT AND SPOUSE MUST COMPLETE
From To  Applicant: City & State  Lincoln, NE 2002 2003  Spouse: City & State  Lincoln, NE 2002 2003
Year From To  Applicant: City & State  Columbus, OH 1993 2002  Spouse: City & State  Columbus, OH 1996 2002
Year From To Applicant: City & State
Spouse: City & State Shaumburg, IL 1993

in the second se	
	Year
	From To
Applicant: City & State	
Spouse: City & State	
EMPLOYERS - LIST LAST TWO EMPLOYERS	
	Year
Name of Employer	From To
Southern Auto Sales	1997 2002
Name of Supervisor	Telephone Number
Self	614-443-3818
	Year
Name of Employer Asia Market	From To
	1993 1997
Name of Supervisor	Telephone Number
Tam Trinh	614-261-6118

# PERSONAL OATH AND CONSENT OF INVESTIGATION - MUST BE SIGNED BY APPLICANT & SPOUSE

# STATE OF NEBRASKA ) SS COUNTY OF LANCASTER

The above individual(s), being first duly sworn upon oath, deposes and states that the undersigned is the applicant and/or spouse of applicant who makes the above and foregoing application, that said application has been read and that the contents thereof and all statements contained therein are true. If any false statement is made in any part of this application, the applicant(s) shall be deemed guilty of perjury and subject to penalties provided by law. (Sec. §53-131.01) Nebraska Liquor Control Act.

The undersigned applicant hereby consents to an investigation of his/her background including all records of every kind and description including police records, tax records (State and Federal), and bank or lending institution records, and said applicant and spouse waive any rights or causes of action that said applicant or spouse may have against the Nebraska Liquor Control Commission and any other individual disclosing or releasing said information to the Nebraska Liquor Control Commission. If spouse has NO interest directly or indirectly, an affidavit may be filed.

The undersigned understand and acknowledge that any license issued, based on the information submitted in this application, is subject to cancellation if the information contained herein is

incomplete and inaccurate.

Signature of Applicant

Subscribed in my presence and swom to before me this 27 day of October, 2003

Subscribed in my presence and swom to before me this 27 day of October 2003

Notary Signature & Seal

GENERAL NOTARY - State of Nebraska NANCY L. WILKEN My Comm. Exp. April 15, 2006 Notary Signature & Seal

MANCY L. WILKEN
My Count. Exp. April 15, 2006

Verify and Print

FORM 35-4013 REV. 8/01